

1449A/PTO

(use as many sheets as necessary)

Sheet	1
-------	---

of

2

Complete if Known

Application Number

~~09/953,638~~ 10/602,255

Filing Date

September 17, 2001

First Named Inventor

George Richard Chapman, Jr.

Group Art Unit

1732

Examiner Name _____

Unknown

Attorney Docket Number

DW0054 USNA

[illegible]

FOREIGN PATENT DOCUMENTS								
Examiner Initials*	Cite No. ¹	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ₈
		CountryCode ³	Number ⁴	Kind Code ⁶ (if known)				
<i>[Handwritten mark]</i>		WO	9945065		09-10-1999	Dyneon LLC		<input type="checkbox"/>
<i>[Handwritten mark]</i>		WO	0142363 A1		06-14-2001	The B.F. Goodrich Company		<input type="checkbox"/>
<i>[Handwritten mark]</i>		GB	1597179		09-03-1981	Shell Internationale Research Maatschappij B.V.		<input type="checkbox"/>
								<input type="checkbox"/>
								<input type="checkbox"/>

**Examiner
Signature**

Date Considered

* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the tow-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 18 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

WIPO Standard S1, 18 if possible. Applicant is to provide a checkmark next to the appropriate box.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231**



PTO/SB/08B (10-01)

Approved for use through 10/31/2002. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number

Substitute for form 1449A/PTO		Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)		Application Number	09/953,698 - 10/602,255
		Filing Date	September 17, 2001
		First Named Inventor	George Richard Chapman, Jr.
		Group Art Unit	1732
		Examiner Name	Unknown
Sheet 2 of 2	Attorney Docket Number	DW0054 USNA	

OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS			
Examiner Initials *	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
~		Dynamar™ Polymer Processing Additives Direct Addition During Resin Manufacture, Dyneon 2000, December 2000, 98-0504-1088-9	<input type="checkbox"/>
~		Dynamar™ Polymer Processing Additive Optical Microscopy Method for Dispersion Analysis in Polyolefins, Dyneon 1997, Pages 1-4, 98-0504-0270-4	<input type="checkbox"/>
~		R. J. ATHEY, R. C. THAMM, R. D. SOUFFIE, AND G. R. CHAPMAN, Viton® Free Flow - The Processing Behavior of Polyolefins Containing a Fluoroelastomer Additive*, DuPont Dow Elastomers Technical Information, May, 1992, Pages 1-7, H-284351	<input type="checkbox"/>
~		Viton® Free Flow - Masterbatch, DuPont Dow Elastomers Technical Information, May, 1992, H-28357-1	<input type="checkbox"/>
~		G. R. CHAPMAN, D. E. PRIESTER, AND R. D. SOUFFIE, Viton® Free Flow - Advances in the Use of Fluoroelastomers as Process Aids for Polyolefins*, DuPont Dow Elastomers Technical Information, May 1992, Pages 1-5, H-28437-1	<input type="checkbox"/>
~		Viton® Free Flow - Mechanism, DuPont Dow Elastomers Technical Information, May 1991, H-26355	<input type="checkbox"/>
		D. E. PRIESTER, DR. K. M. SIKKA, G. R. CHAPMAN, R. S. MC MINN AND DR. P. FERRANDEZ, Quality Control Techniques for Processing Additives, Antec 93, Pages 2528-2533.	<input type="checkbox"/>
		R. J. ATHEY, R. C. THAMM, R. D. SOUFFIE, AND G. R. CHAPMAN, The Processing Behavior of Polyolefins Containing a Fluoroelastomer Additive*, Antec 88, Pages 1149-1153	<input type="checkbox"/>
~		TONE® Polymers P-767 and P-787 High Molecular Weight Caprolactone Polymers, 1999 Union Carbide, July 1999, UC-2032, Pages 1-16	<input type="checkbox"/>
~		Derwent Abstract - German Patent Application DE 19549369, published July 17, 1997	<input type="checkbox"/>
~		Derwent Abstract - Japanese Patent Application Kokai 7290550, published November 7, 1995	<input type="checkbox"/>

Examiner Signature	<i>Walter W. W.</i>	Date Considered	1-04
--------------------	---------------------	-----------------	------

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

Under the Paper

Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet	1	of	2
-------	---	----	---

C mplete If Kn wn

Application Number	09/063,838 10/607,255
Filing Date	September 17, 2001
First Named Inventor	George Richard Chapman, Jr.
Group Art Unit	1732
Examiner Name	Unknown
Attorney Docket Number	DW0054 USNA

U.S. PATENT DOCUMENTS

[illegible]

FOREIGN PATENT DOCUMENTS

[illegible]

Examiner
Signature

date/Considered

* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the tow-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 If possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231**

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

- Substitute for form 1449A/PFO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet

2

of

2

Attorney Docket Number

Complete if Known

Application Number

~~09/953,838~~ 10/602,255

Filing Date

September 17, 2001

First Named Inventor

George Richard Chapman, Jr.

Group Art Unit

1732

Examiner Name

Unknown

Attorney Docket Number

DW0054 USNA

U.S. PATENT DOCUMENTS

[illegible]

FOREIGN PATENT DOCUMENTS

[illegible]

Examiner
Signature

Date
Considered

* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 801.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231**